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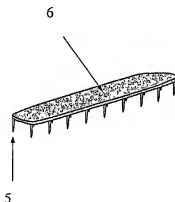
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(54) Title: SURGICAL SCAFFOLD

(57) Abstract: A scaffold for reshaping an ear  
or a nose, the scaffold being configured to be i)  
attached to the cartilaginous portion of an ear or  
ii) attached to the cartilaginous portion of a nose,  
wherein the scaffold is formed at least in part  
from a shape-memory material and/ or a plastic  
material and is capable of transforming from a  
first configuration to a second, pre-programmed  
configuration.

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### Surgical Scaffold

5 The present invention relates to a scaffold for  
reshaping an ear or nose of an animal, preferably a human,  
wherein the scaffold is formed at least in part from a  
shape-memory material or a plastic material.

10 Prominent ear and nose deformity is common amongst the  
human population.

Firstly the problem of ear deformity will be  
considered. An ear which projects more than 17 mm from the  
side of the head is usually perceived as prominent. By this  
15 estimate, up to 5% of the population may be affected. Both  
ears are commonly affected, although occasionally just one  
side is prominent. The prominence may be the result of a  
poorly formed or absent antihelical fold (Figures 1 and 2).  
Or it may be the result of a deep conchal fossa (Figures 1  
20 and 3). Alternatively, both of these abnormalities may need  
to be addressed when correcting prominent ears.

There are a number of known methods for addressing the  
problem of prominent ears. These methods may be divided  
25 into two categories, those involving otoplasty surgery (a  
procedure to change the shape of the ear) and those avoiding  
surgery. Examples of each of these categories will now be  
briefly discussed.

30 A number of operations (otoplasty surgery) are  
available to correct ear deformities. These vary from very  
invasive procedures to reshape the cartilage to minimally

invasive procedures. The principle involved in all of these procedures is reshaping of the cartilage which gives the ear its prominence.

5           Standard, invasive, otoplasty surgery is a lengthy procedure which takes approximately 90 minutes (45 minutes for one ear). A large number of complications have been associated with this type of surgery. These include:  
10   problems with infection, bleeding, skin necrosis, death from general anaesthesia, recurrence of the prominence, keloid or hypertrophic scarring, asymmetry, palpable sharp edges (where the cartilage has been cut), pain, numbness and cold intolerance/sensitivity.

15           Minimally invasive otoplasty procedures (using needles or similar instruments) to reshape the cartilage have fewer complications and take less time (15 minutes for each ear), but are also less successful at achieving corrections of ear prominence. Asymmetry and palpable sharp edges are also  
20   more common compared with standard otoplasty surgery.

25           A further disadvantage of both standard otoplasty surgery and minimally invasive otoplasty procedures is that surgeons must undergo lengthy and costly training to learn the relevant surgical techniques. Furthermore, the results of the first 10-20 cases are likely to be unpredictable. There is currently no means by which this can be avoided.

30           To avoid some of the problems associated with otoplasty surgery several devices have been developed to correct prominent ears, which avoid surgery altogether.

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An example of such a device is known as Earbuddies™. At birth and for a variable time afterwards (up to six months), the cartilage of the human ear remains soft and deformable. Therefore, external forces applied to the

5 cartilage can result in permanent changes to its shape. After six months, the cartilage becomes more firm and more resistant to deformation. In the first few years of life, Earbuddies™ take advantage of the deformability of the cartilage. A piece of soft wire coated in silicone (for

10 comfort) is moulded and placed onto the outside of the ear and taped into position (Figures 4a to 4c). The cartilage moulds its shape to that of the ear buddy and any prominence is corrected. More information on how the device is used is available from the website for the device at

15 <http://www.earbuddies.co.uk/pws/index.htm>. Earbuddies™ are very successful when used in children up to the age of about 6 months. Thereafter, the cartilage becomes more firm and the length of time that the splint needs to remain in place to exert an effect makes it impractical to use. This is

20 compounded by the increasing dexterity of the child who will try (and usually succeed) in removing the splint, thereby reducing its effectiveness.

An alternative device, which avoids the need for

25 surgery is known as Auri®Clip. The Auri®Clip applies gentle, continuous, external pressure to the cartilage of the ear in the region of the antihelical fold (Figures 1, 5, 6). This deforms the cartilage in this area over a prolonged period of time to make the ears lie flatter

30 against the head. The Auri®Clip forms part of the patented Auri®Method which consists of three products:

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- i) The Auri®Clip.
- ii) The Auri®Strip, a special plaster.
- iii) The Auri®Protective Spray.

5           According to the manufacturer, the Auri®Clip is a brace measuring 1 inch (2.5 cm) on all sides which is fixed to the ear during the night or day (Figures 5a and 5b). It consists of three parts: the part behind the ear, the part in front of the ear, and a lock. The Auri®Strip is a very  
10 thin (0.2 mm thick), transparent and double-sided medical adhesive material that is invisible when worn and can also be used to reshape the antihelical fold (Figures 6a to 6c). The Auri®Protective Spray is used together with the Auri®Clip and Auri®Strip to prevent problems with skin  
15 irritation due to prolonged usage of the Auri®Clip. The makers claim that 3 to 6 months treatment is enough to have a permanent effect. More information on the use of the device is available from  
<http://www.aurimethod.com/index.htm>.

20           This technique has the disadvantage that the clips cause skin irritation in some patients. Furthermore, correction of the deformities may not be complete.

Nose deformities are also common in the human  
25 population. Deformities of the nose include, for example, having a broad tip, bifid tip or cleft tip. Rhinoplasty (nose shaping surgery) has conventionally been used to address these deformities. Noses may be made smaller using reduction rhinoplasty, or enlarged using augmentation  
30 rhinoplasty. Such surgery usually involves separating the skin of the nose from its supporting framework of bone and cartilage. In conventional rhinoplasty both the bone and

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the cartilage may need to be reshaped. Bone, which forms approximately one-third of the nose, is relatively easy to reshape. In contrast, cartilage, which forms the remaining two-thirds, is relatively difficult to reshape. This is particularly true for the tip of the nose.

There are several disadvantages of conventional rhinoplasty. For example, traumatic dissection of the nose may damage nasal cartilages. There is also a risk of skin necrosis. Furthermore, asymmetry may be made worse by surgery. Cartilage grafts are often in short supply, especially in revision procedure and in cleft lip noses. Furthermore, the operations are often lengthy and the surgeon must be highly skilled. Training of a sufficiently skilled surgeon to perform rhinoplasty is time consuming and costly. Moreover, there are disadvantages of conventional rhinoplasty to the patient. The operation may be painful and there is a risk of adverse reaction, or even death due to the general anaesthetic. Furthermore, the results of surgery may be unpredictable and irregularities may be observed, particularly on the tip or dorsum. There is also a risk of recurrence of the deformity.

The present invention aims to address at least some of the problems and disadvantages of the prior art.

According to a first aspect of the present invention there is provided a scaffold for reshaping an ear or a nose, the scaffold being configured to be i) attached to the cartilaginous portion of an ear or ii) attached to the cartilaginous portion of the nose, wherein the scaffold is formed at least in part from a shape-memory material and/ or

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a plastic material, and is capable of transforming from a first configuration to a second, pre-programmed configuration.

5            Preferably, the scaffold for reshaping an ear or a nose comprises a body portion and at least one engaging member for engaging the cartilaginous portion of an ear or a nose, wherein the scaffold is formed at least in part from a shape-memory material and/or a plastic material and is  
10          capable of transforming from a first configuration to a second, pre-programmed configuration.

            In a second aspect, the present invention provides a method of reshaping an ear or a nose comprising  
15          providing a scaffold as described above,  
            introducing at least part of the scaffold into an ear or a nose and  
            altering the scaffold to cause the scaffold to transform from its first configuration to its second, pre-  
20          programmed configuration.

            In a third aspect, the present invention provides an applicator for inserting the scaffold as defined herein into an ear or nose, the apparatus comprising means for  
25          releasably retaining the scaffold and means for deploying the scaffold into the ear or nose.

            By the term "scaffold" as used herein is meant any biocompatible structure or framework, which may be used to  
30          reshape an ear or a nose. Preferably, upon implantation into a patient the scaffold does not adversely react with a patient.

The scaffold may be suitable for reshaping the anti-helical fold of the ear and/ or for reshaping the conchal fossa of the ear.

5

The scaffold for reshaping an ear or nose may comprise a body portion and at least one engaging member for engaging in the cartilaginous portion of an ear or for engaging in the cartilaginous portion of a nose, respectively.

10

The body portion of the scaffold for reshaping an ear or a nose may have the shape or substantially the shape of a rectangle, a square, a rhombohedra, a circle, or another regular or irregular polyhedron. If the body portion shape has corners, it may be advantageous to round the corners or edges or otherwise alter them such that there are as few sharp corners/ edges as possible. The body portion may be symmetric or asymmetric.

20

Preferably, the body portion of the ear scaffold will be from 0 to 35 millimetres long, from 0 to 10 millimetres wide and from 0 to 2 millimetres thick. More preferably, it will be from 5 to 25 millimetres long, from 5 to 9 millimetres wide and from 0.2 to 1.8 millimetres thick.

25

Most preferably, it will be from 10 to 20 millimetres long, from 4 to 8 millimetres wide and from 0.5 to 1.5 millimetres thick.

Preferably, the body portion for the nose scaffold will be an irregular polyhedron.

30



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Preferably, the body portion of the nose scaffold will have a length of from 20 to 35 millimetres, width from 0 to 15 millimetres and a thickness of from 0 to 2.5 millimetres. More preferably, the body portion for the nose scaffold will  
5 be have a length from 25 to 30 millimetres, a width of from 5 to 10 millimetres and a thickness of from 0.5 to 2.0 millimetres.

Preferably, the engaging member for engaging in the  
10 cartilaginous portion of an ear is of suitable dimensions for engaging in the cartilaginous portion of an ear, without the risk of protruding through the skin of the ear. Similarly, the engaging member for engaging in the nose will preferably be of a suitable size for engaging in the nasal  
15 cartilage, but without the risk of protruding through the skin. It will be understood by the skilled person that the suitable dimensions may vary with the size of the ear or nose in which the scaffold is to be implanted. Hence it may vary for a child and for an adult. Preferably, the engaging  
20 member has dimensions of less than, or equal to the cartilaginous portion of the ear of nose.

Preferably, the engaging members for engaging in the ear cartilage will be from 0 to 5 millimetres long and from  
25 0 to 1.5 millimetres in diameter. More preferably, the engaging members for engaging in the ear cartilage will be from 1 to 4 millimetres long and from 0.5 to 1 millimetres in diameter.

30 Preferably, the engaging members for engaging in the nose cartilage will be from 0 to 5 millimetres and 0 to 1.5

millimetres. More preferably, from 1 to 4 millimetres long and from 0.5 to 1 millimetres in diameter.

The engaging members on a particular body portion may  
5 have the same length and/or width as one other engaging members on a given body portion. Alternatively, at least one engaging members may have a different length and/or width to another engaging member on a given body portion. Preferably, all engaging members on a particular body  
10 portion will all be of equal length and/or width.

The engaging members of the present invention may, for example, be in the form of spikes, prongs, tines, or cylindrical or branched protrusions. Preferably, the  
15 scaffold comprises a plurality of engaging members extending from the body portion.

The number of engaging members per body portion may be varied depending on the deformity being corrected.  
20 Preferably, the body will have at least two engaging members, more preferably it will have at least four, most preferably at least six.

The engaging members may be arranged symmetrically, or  
25 asymmetrically on the body portion.

The engaging members may all be positioned on the face of the body portion. Alternatively, at least one of the engaging members may protrude from a different face of the  
30 body portion. The engaging members may be positioned towards the edge of the body portion, or/ and towards the centre of the body portion.

The scaffold for reshaping an ear or nose of the present invention may comprise a body portion without engaging members. Such a scaffold may be held in the  
5 desired position in the ear or nose by, for example, the overlying skin. It may be advantageous for a scaffold without engaging members to be used in the present invention as this may simplify application and/ or removal of the scaffold to/ from the ear or nose. Preferably, when the  
10 scaffold of the present invention is placed in the anterior surface of the ear, the scaffold is without engaging members.

In one embodiment of the present invention, it is  
15 advantageous for a substantial part of the body of the scaffold to have a substantially smooth surface. This allows the scaffold to be easily deployed in or removed from the nose or ear. In this embodiment it is preferable for the body not to comprise engaging members. When no engaging  
20 members are present on the scaffold it has been found to be advantageous for the body of the scaffold to have a width of less than 10 millimetres, preferably less than 5 millimetres and most preferably less than 3 millimetres. The length of the body is preferably greater than 10 millimetres, more  
25 preferably greater than 12 millimetres and most preferably less than 15 millimetres. Without wishing to be bound by any theory the present inventors have discovered that when the length of the scaffold is less than 10 millimetres and there are no engaging members, the frictional forces between  
30 the cartilage and the scaffold are not sufficient in order to allow the cartilage to grip the cartilage satisfactorily.

In a further embodiment of the present invention the body of the scaffold is designed so that the frictional contact between the scaffold and the cartilage when in place in the nose or ear is increased compared to a scaffold which has a substantially smooth surface. This may be achieved, for example, by designing the scaffold such that at least a portion of the surface of the scaffold has a rough surface. In order to ease application of such an embodiment, the scaffold may be designed so that only a portion of the scaffold has a roughened surface, and the remaining portion is smooth. Preferably the central portion of the scaffold has a roughened surface and the edge portions are substantially smooth to allow easy deployment of the scaffold into the nose or ear (see for example Figure 18b).

Preferably the body portion of the scaffold is tapered to narrow at one end. More preferably the body portion will taper to a narrower head end, and have a wider tail end. The head end being designed to be inserted into the patient first. The tapering of the scaffold preferably decreases the lateral damage made to the skin when the scaffold is inserted or removed.

The edges of the scaffold may be straight, curved, wavy, serrated or a combination. It may be advantageous for the edges not to be straight so that the edge engages with the skin and provides more anchorage of the scaffold to the cartilage.

It will be understood that the scaffold for reshaping an ear or a nose may be designed to stay in the body of the patient for a substantial length of time, for example, at

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least two years, or more preferably at least five years. Alternatively, the scaffold may be designed to be taken out of the patient after, for example, less than two years, or less than one year, or less than six months.

5

The scaffold of the present invention is formed at least in part from a shape-memory material and/or a plastic material and is capable of transforming from a first configuration to a second, pre-programmed configuration.

10

The first and/or second configuration of the scaffold may be in a constrained or a non-constrained state. Preferably, the first configuration is in constrained state and the second configuration is in a non-constrained or vice versa.

15

Preferably, either the first or the second pre-programmed configuration is substantially curved and the other configuration is substantially straight.

20

Preferably, the first and/or second configuration of the scaffold is pre-programmed to conform to the shape of the ear or the nose. For example, it may be pre-programmed to be substantially the shape of, or at least part of the shape of, an antihelical fold, a conchal fossa, or a nasal cavity.

25

Preferably, the body portion and/or at least one engaging member may be formed at least in part from the shape-memory material and is capable of transforming from a first configuration to a second, pre-programmed configuration.

30

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The term "shape-memory material" is well known in the art. As used herein the term may be defined as a material which is capable of transforming from a first configuration to a second, pre-programmed configuration. This may be initiated by a change in temperature.

The shape memory material of the present invention may be a metal alloy or a shape memory polymer.

Preferably, the alloy used is a shape memory alloy of nickel and titanium. Most preferably, the alloy comprises approximately 50% nickel and 50% titanium by weight of the total composition.

Preferably, the nickel titanium alloy used in the present invention is of the type disclosed in US patent no. 3,174,851, which is known as "Nitinol". Details of such materials may be found in NASA Publication SP 5110 entitled "55-NITINOL"- The Alloy with a Memory, Its physical Metallurgy, Properties, and Applications, C.M. Jackson et al., 1972. Many other materials having similar characteristics are well known.

The property of nitinol which may be exploited in the present invention is the ability to pre-program a particular shape into the metal alloy and to activate the "memory" of this shape by heating/cooling it to specific temperatures. Using this property, it is possible to control the point at which the nitinol changes shape to within from 1 to 10°C, preferably within from 1 to 5°C and most preferably within from 1-2 °C. Preferably, the temperature range over which

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the scaffold changes from the first to the second and/or the second to the first configuration is narrow.

The scaffold of the present invention may comprise a  
5 plastic material, which may be thermoplastic. This material may be biodegradable. Furthermore, it may have shape-memory properties.

Preferably, the scaffold comprises a plastic material  
10 which is a biodegradable and/or bioabsorbable elastomer with shape memory properties. Examples of such materials may be found in Medical Device Technology, April 2005. Examples of such materials include, but are not limited to, poly( $\epsilon$ -caprolactone), or those based on crystallisable macrodiols,  
15 which may be synthesised from poly(p-dioxanone)diols and poly( $\epsilon$ -caprolactone)diol.

The scaffold of the present invention may comprise bioabsorbable or a biodegradable material, which may be a  
20 polymer or a copolymer. Examples of bioabsorbable materials which may be used in the present invention include, but are not limited to, synthetic materials such as polyacetic acid, polyglycolic acid, polydioxanone, polytrimethylene carbonate, poly(ethylene carbonate), poly(iminocarbonates),  
25 polycaprolactone, polyhydroxybutyrate, polyalkylene oxalates, polyalkylene succinates, poly(maleic acid), poly(1,3-propylene malonate), poly(ethylene terephthalate), poly(amino acids) and VICRYL™ (a bioabsorbable copolymer of glycolide and lactide). Preferably, the bioabsorbable  
30 material is a polydioxanone homopolymer. It will be understood that the selection of a suitable absorbable material will depend on such factors as the desired *in vivo*

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strength properties and absorption rate required for the scaffold.

One aspect of the present invention provides a method  
5 of reshaping an ear or a nose comprising  
providing a scaffold as described herein,  
introducing at least part of the scaffold into an ear  
or a nose and  
altering the scaffold to cause the scaffold to  
10 transform from its first configuration to its second, pre-  
programmed configuration.

Preferably, the present invention provides a method of  
reshaping an ear or a nose comprising  
15 providing a scaffold, wherein said scaffold comprises  
at least one engaging member as described herein,  
introducing at least one engaging member of the scaffold  
into a cartilaginous portion of an ear or a nose, and  
altering the scaffold to cause the scaffold to transform  
20 from its first configuration to its second, pre-programmed  
configuration.

Preferably, the temperature of at least some of the  
scaffold is altered to cause the scaffold to transform from  
25 its first configuration to its second, pre-programmed  
configuration. Alternatively, or additionally, force may be  
applied or released to the scaffold to transform the  
scaffold from one configuration to another.

30 The temperature of the scaffold may be increased or  
decreased to cause the scaffold to transform from its first  
configuration to its second, pre-programmed configuration.



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It will be understood that the temperature ranges desired for transition of the scaffold from one configuration to another may be determined by the tolerance of animal/human tissue to heating and cooling, and to temperature fluctuations experienced in the nose and ear during everyday life. Preferably, the temperature of the scaffold of the present invention will remain from -20 °C to 45 °C, more preferably from 0 to 42 °C, most preferably, from 15 to 40°C. It is known that exposure of animal/human tissue for prolonged periods (greater than 1 minute) to temperatures above 40 °C may result in permanent damage to the tissues and prolonged exposure (hours) of the whole organism to temperatures above this level is not usually compatible with life. Similarly, exposure of animal/human tissue to prolonged periods to sub-zero temperatures is likely to damage the tissue and may lead in some cases to frost-bite. Thus prolonged exposure of the tissues to extreme temperatures is preferably avoided or minimised.

In one embodiment, wherein the scaffold comprises a body portion and at least one engaging member, the present invention provides a method comprising

introducing at least one engaging member of the scaffold into the cartilaginous portion of an ear or a nose when the scaffold is at an elevated temperature, and wherein the scaffold transforms from its first configuration to its second, pre-programmed configuration as the scaffold cools below a predetermined temperature.

Preferably, the scaffold of the present invention is in a first configuration at room temperature (for example from

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20 to 25°C) and at animal/human body temperature (for example from 35 to 40°C). This first configuration may be curved. Upon heating the scaffold above animal or human body temperature, to for example about 41 to 42°C, the scaffold transforms into a second pre-programmed configuration. The second configuration may be substantially straight. The scaffold may then be inserted into the animal or human whilst the scaffold is in its second configuration. Inserting the heated scaffold may only take a few seconds, thus tissue damage is limited. Once the scaffold has been inserted into the cartilage of the ear or nose, it may be rapidly cooled, for example, by dousing with water. Upon cooling, the scaffold is pre-programmed to transform into its first configuration and to subsequently remain in that configuration at a temperature of approximately 37°C. This may be advantageous since the mammalian bodies of particular interest to this invention usually have a temperature of approximately 35 to 40 °C.

In another embodiment, the method of the present invention may further comprise manually altering the configuration of the body portion and/or at least one engaging member of the scaffold once the scaffold is positioned in the ear or in the nose.

In addition to the methods described above, the method of the present invention may further comprise altering the temperature of the scaffold to cause the scaffold to transform from its second, pre-programmed configuration to its initial configuration to allow the scaffold to be removed from the ear or from the nose.

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Preferably, the shape memory material of the present invention is heated by passing an electric current through the shape memory material or by adjacent heating elements.

- 5 This may permit precise control of the shape of the scaffold implant during the insertion process/ reshaping process.

The method of the present invention is minimally invasive compared with standard otoplasty surgery. Thus the  
10 present invention provides a method of reshaping an ear or a nose which carries a reduced risk of complications compared to the more extensive dissection required with standard techniques. Thus, by using the method of the present invention there should be fewer problems with scarring,  
15 bleeding, skin necrosis and sharp folds in the cartilage.

It will be understood that the scaffold of the present invention can be applied quickly. It may take only 10-15 minutes to correct both ears compared with conventional  
20 otoplasty which takes up to 45 minutes for each ear.

Since the scaffold is buried under the skin and embedded in the cartilage. It does not suffer the problems encountered with poor compliance by the patient using non-  
25 surgical techniques such as Earbuddies™ or Auri®Clips.

One advantage of using the scaffold of the present invention is that the outline form of the reshaped nose or ear is highly predictable and reproducible compared to  
30 standard techniques. For example, the curvature of the antihelical fold is highly predictable and reproducible compared with standard techniques. Thus, there is less risk

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of problems of asymmetry compared with conventional  
otoplasty surgery.

It will be understood that application of the present  
5 invention will result in the immediate correction of the ear  
or nose deformity, unlike some methods described in the  
prior art, for example Earbuddies™ or Auriclip, which must  
be used for extended periods of time to achieve the  
correction desired by the patient.

Each aspect as defined above may be combined with any  
other aspect or aspects unless clearly indicated to the  
contrary. In particular any feature indicated as being  
preferred or advantageous may be combined with any other  
15 feature or features indicated as being preferred or  
advantageous.

The present invention will now be described further, by  
way of example, with reference to the accompanying drawings,  
20 in which:

Figures 1a and 1b show schematic illustrations of an  
ear;

25 Figures 2a and 2b show photographs of a prominent ear  
due to a deformed antihelical fold before and after  
treatment;

Figures 3a and 3b show photographs of a prominent ear  
30 due to a deep conchal fossa;

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Figures 4a to 4c show photographs of a young child's ear before, during and after treatment with Earbuddies®;

Figures 5a and 5b show photographs of an Auriclip® in use and an illustration of an Auriclip®;

Figures 6a to 6c show an illustration of a prominent ear without and with an Auri®strip (Figures 6a and 6b respectively), and photograph of an Auri®strip (Figure 6c);

Figures 7a to 7c show schematic illustrations of one embodiment of the present invention being positioned in an ear;

Figures 8a and 8b show schematic illustrations of an ear scaffold of the present invention;

Figures 9a to 9e show schematic illustrations of an ear scaffold of the present invention being inserted into an ear using an applicator;

Figures 10a and 10b show schematic illustrations of an ear before and after insertion of a scaffold of the present invention;

Figures 11a to 11c show illustrations of an applicator which may be used to insert the present invention into the patient;

Figures 12a to 12e show the use of the present invention to correct deep conchal fossa;

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Figures 13a to 13d show schematic illustrations of a nose without a scaffold (Figure 13a), with a scaffold (Figures 13b and 13c); and the scaffold (Figure 13d);

5

Figure 14 shows a preferred embodiment of an applicator for the scaffold of the present invention;

Figure 15 shows an enlarged illustration of a slider which may form part of the applicator for a scaffold;

10

Figure 16 shows the slider of Figure 15 in place on an applicator, such the one shown in Figure 14;

15

Figure 17 shows an applicator with a locator device; and

Figures 18a and 18b show cross-sections of portion (18) of the applicator of the present invention.

20

Figure 1a shows a schematic illustration of the front view of a human ear, showing the antihelical fold (1), and the conchal fossa (2). In a normal ear the cartilage (3) of the ear normally protrudes approximately 15 to 17mm from the skin (4). This distance is illustrated in Figure 1b, which shows a cross-sectional view of an ear taken along the line marked X on Figure 1a.

25

The photograph Figure 2a shows a prominent ear due to the absence of, or a poorly formed, antihelical fold. This may be corrected by creating an antihelical fold as part of otoplasty (as shown by the dotted line in Figure 2b).

30

Figure 3a shows a photograph of a prominent ear due to the presence of a deep conchal fossa. Normally, a wedge of cartilage must be removed from the ear to reduce the ear's prominence (as shown in the highlighted section of Figure 3b).

Figures 4a to 4c show photographs of a young child's ear before, during and after treatment with Earbuddies. Figure 4a shows a child's ear which is prominent at birth. Figure 4b shows an "Earbuddy"® in place in the child's ear. Figure 4c shows the child's ear after treatment.

Figure 5a shows a photograph of an Auriclip® in use. Figure 5b shows a photograph of an Auriclip® in more detail. The Auriclip® has a member over which the ear cartilage is folded. The Auriclip® folds the ear cartilage by pushing the cartilage from behind.

Figure 6a shows an illustration of an ear before treatment. Figure 6b shows an illustration of an ear with a Aufistrip® in place behind the ear creating an antihelical fold. Figure 6c shows Auristrips® cut to size to fit behind an ear.

Figure 7a shows an illustration of a prominent ear due to the absence of an antihelical fold. Figure 7b shows three small incisions that have been made on the posterior of the skin of the ear. A small subcutaneous tunnel is made at each incision to allow the ear scaffold to be inserted. Figure 7c illustrates the scaffolds being inserted and fixed into an ear.

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A schematic illustration of one embodiment of the scaffold of the present invention is shown in Figure 8a. The body of the scaffold (6) may comprise nitinol (or a similar material). The body may comprise bioerodible material. Engaging members (5) may be attached to the body of the scaffold. The engaging members may be tines, or prongs to be driven into the cartilage. The scaffold may be bent into shape or may be pre-programmed to a specific degree or curvature (Figure 8b).

Figures 9a to 9e illustrate one self-explanatory method of inserting the scaffold into the cartilage of an ear. The scaffold may be mounted on the tip of the applicator (Figure 9a). The scaffold may then be deployed into the cartilage (3).

Figure 10a shows an illustration of a cross section of an ear before insertion of the scaffold. Figure 10b shows the scaffold in place in the ear. The scaffold may be designed such that it can be bent to reshape the antihelical fold by a desired amount, or the ear staple may be pre-programmed to bend with a certain degree of curvature which may be selected before insertion.

Figures 11a to 11c illustrate an applicator which may be used to insert the scaffold of the present invention into an ear or nose. In this embodiment, the applicator (8) has a battery pack in its handle, which may be switched on to heat the scaffold via switch (7). A trigger may be used to operate the anvil which drives the ear staple into the cartilage. Figure 11b shows an enlarged illustration of the



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anvil (10). The ear staple is held towards the end of the applicator (9). Advantageously the ear staple may be held straight during application to the cartilage. The applicator is then slide off allowing the ear staple to return to its curved shape upon cooling. Figure 11c shows heating elements (11) at the tip of the applicator (8).

Figures 12a to 12d illustrate cross sections of an ear staple (13) being inserted into an ear to correct prominence due to deep conchal fossa. Figure 12e shows a side view of an ear showing the scaffold in place (15) and the incision made in the conchal fossa to place the scaffold (14).

Figures 13a to 13d show a scaffold (Figure 13d) of the present invention being inserted into a human nose. The skin envelope of the nose is released (Figure 13a). The scaffold is then inserted into the nose cartilage (Figure 13b). The scaffold may be secured in place by driving the engaging members into the cartilage. The scaffold may then be transformed into the predetermined shape (Figure 13c). In Figure 13c, the scaffold is secured to the alar cartilages by driving the tines (engaging members) into the cartilage. Once secure, the nasal cartilages preferably conform to the shape of the scaffold reshaping the nose.

Figure 14 shows a preferred embodiment of an applicator for the scaffold of the present invention. The applicator may comprise a handle (19), a portion (18) on which the scaffold (not shown) is held prior to insertion by a retaining means (17), and a protruding section (16) which helps to position the scaffold on the applicator upon insertion to the nose or ear. The scaffold is positioned on

- 25 -

portion (18) of the applicator prior to insertion. The portion (18) preferably holds the scaffold in the first configuration. The applicator is then inserted into a skin incision made in the ear or nose. Preferably only the

5 portion (18) is inserted into the incision. To facilitate insertion of the applicator into the incision the applicator may be tapered towards the distal end, preferably along the portion (18) as shown in Figure 14. The retaining means

(17) may be a groove as shown in Figure 14 into which the

10 scaffold is designed to rest. The retaining means may be a channel for releasably retaining the scaffold. The handle (19) may be designed such that a finger may be inserted into it. Preferably the handle is designed for insertion of the middle finger. The index finger may then be used to steady

15 the applicator.

Preferably the applicator has stop means for stopping further deployment of portion (18) into the nose or ear. For example, the stop means may be a protruding section (16)

20 as shown in Figure 16.

Preferably the applicator retains the scaffold in a first configuration.

25 After the applicator has been inserted under the skin the scaffold may be deployed into position by pushing the scaffold from portion (18) of the applicator and removing the applicator from the nose or ear. The scaffold may be deployed from the applicator by means of a slider (20)

30 (Figure 15) which is positioned on the applicator as shown in Figure 16. The scaffold bends into the pre-programmed shape as it is deployed from the applicator.

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The applicator may further comprise a locator means (21) attached to the slider (20). The locator means is designed to help the operator to locate the position of the centre of the scaffold when it has been inserted under the skin. This will allow the operator to ensure that the scaffold is located directly over the middle of the antihelical fold. One example of a locator means is shown in Figure 17.

Figure 18a shows the cross section of portion 18 of the scaffold applicator. The scaffold (25) is retained on the applicator prior to insertion in a groove (22) or channel in portion (18) of the applicator. In this example the scaffold has a substantially smooth surface so that insertion of the scaffold from the applicator is facilitated.

Figure 18b shows possible alternative to the cross section of portion (18) of the applicator. In this embodiment the scaffold is designed to have a roughed surface (23) over at least some of its body. In order to ease application of such a scaffold (26), portion (18) may have a further groove (24) or channel to make space for the roughened surface (23).

#### Embodiment 1

In a first example of the present invention, a scaffold is used to reshape the antihelical fold of the ear with the aim of correcting a prominent ear (see Figures 1a and 1b).

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In this example, to change the shape of the antihelical fold, a thin strip of nitinol metal alloy (or material with similar properties) is inserted into the subcutaneous space of the skin on the posterior aspect of the ear through a  
5 small incision or series of incisions (Figures 7a to 7c).

The scaffold of the present invention may also be effective when placed into the subcutaneous space on the anterior aspect of the ear. However, it may be more  
10 advantageous for placement at the posterior position, because this will reduce the likelihood that the engaging member (and any incision to insert it) may become visible overtime.

15 In this example the scaffold is shaped with several thin "spikes", "prongs" or "tines" along its length (or just at each end) on one side of the strip (Figures 8a and 8b). The purpose of these spikes or tines is to allow the scaffold to be fixed securely into the cartilage of the ear.

20 To fix the scaffold to the cartilage, a specially designed applicator may be used to hold the scaffold in the correct position in relation to the antihelical fold of the ear (Figures 1a, 1b and Figures 9a to 9e). Once it is in  
25 the correct position (Figure 9a), the applicator is deployed to drive the tines into the cartilage (Figure 9b). This method may be sufficient to hold the scaffold securely (Figure 9c). Alternatively, it may be necessary to cause the tines to curve over at their tips (Figure 9d) to bind  
30 the scaffold more closely to the cartilage.

Once the scaffold is secured to the cartilage it is either bent into the desired shape by the user (causing the antihelical fold to be formed) or it is allowed to bend into a pre-programmed shape (Figure 10b). The latter method  
5 allows different degrees of curvature to be pre-programmed into the invention before insertion.

The specific degree of curvature of the antihelical fold required to correct the prominence may be measured,  
10 prior to design of the scaffold. The scaffold may then be designed to specific measurements. The results of this method of correction would be highly predictable and reproducible compared with conventional techniques.

15 A possible applicator used to insert the invention is shown in (Figures 11a to 11c). The applicator may be electrically driven. This allows the shape pre-programmed into the nitinol metal alloy to be activated on command. The pre-programmed shapes could include, for example, a  
20 shape where the tines are either straight or curved. The ability to control the shape of the tines would facilitate removal of the invention from the ear. This might be necessary to allow the position of the invention to be adjusted infinitely to produce the desired effect and would  
25 remove any concern about the learning curve required to produce a particular outcome.

It is anticipated that a maximum of three and a minimum of one of the scaffolds may be required to produce the  
30 desired curvature of the antihelical fold (Figures 7a to 7c). Once inserted, the inventions would be left in place

permanently but could be removed at a later date if problems were to develop.

#### Embodiment 2

5 In a second embodiment of the present invention, a scaffold is used to correct deep conchal fossa (see Figures 3a, 3b and Figures 12a to 12e).

An incision is made in the conchal fossa to facilitate  
10 insertion of the staple (Figure 12b and 12e). A separate incision is made behind the ear to allow the soft tissues to be repositioned (Figure 12b). The ear is pushed back alongside the head by the desired amount (Figure 12b). A staple is inserted through the anterior incision which holds  
15 the ear in the desired position (Figure 12c and 12e). The engaging members, for example, the tines or spikes will then be made to curve over holding the staple in the correct position (Figure 12d) as with the invention for reshaping the antihelical fold.

20

#### Embodiment 3

In the third embodiment of the present invention a scaffold is used to correct a deformed nose (see Figures 13a to d).

25

The skin envelope is released from the nose to allow reshaping of the deformed nasal cartilage. The nose scaffold used to correct the deformity, in this example, comprises two bent body portions. Each portion comprises a  
30 substantially straight part, and a curved part. The curved part comprises engaging members which may be used to engage in the cartilage of the nose.

- 30 -

The scaffold is inserted into the cartilage of the nasal cavity. The skin envelope is then draped over the new cartilage scaffold.

5

The scaffold is then secured to the alar cartilage by driving the engaging members into the cartilage. The engaging members are then heated (or they may be cooled in other embodiments of the present invention) to cause the  
10 engaging members to curve into the alar cartilage. In other embodiments of the present invention, the engaging members need to curve upon transition to a second pre-programmed configuration.

15 Once the scaffold is in place. The nasal cartilage may conform to the new scaffold shape, giving the nose a new shape.

Claims

1. A scaffold for reshaping an ear or a nose, the scaffold being configured to be i) attached to the cartilaginous portion of an ear or ii) attached to the cartilaginous portion of a nose, wherein the scaffold is formed at least in part from a shape-memory material and/ or a plastic material and is capable of transforming from a first configuration to a second, pre-programmed configuration.
2. A scaffold as claimed in claim 1, wherein the scaffold comprises a shape-memory material which may be transformed from a first configuration to a second, pre-programmed configuration at a predetermined temperature or over a predetermined temperature range.
3. A scaffold as claimed in claim 1 or claim 2, wherein the scaffold is a scaffold for reshaping an ear or a nose and comprises
- a body portion and at least one engaging member for engaging the cartilaginous portion of an ear or a nose, wherein said scaffold is formed at least in part from a shape-memory material and is capable of transforming from a first configuration to a second, pre-programmed configuration.
4. A scaffold as claimed in claim 3, wherein the body portion is formed at least in part from the shape-memory material and is capable of transforming from a first configuration to a second, pre-programmed configuration.



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5 5. A scaffold as claimed in claim 3 or 4, wherein the at least one engaging member is formed at least in part from the shape-memory material and is capable of transforming from a first configuration to a second, pre-programmed configuration.

6. A scaffold as claimed in any one of claims 3 to 5, which comprises a plurality of engaging members in the form of prongs extending from the body portion.

10

7. A scaffold as claimed in any one of the preceding claims, which is suitable reshaping the anti-helical fold of the ear.

15

8. A scaffold as claimed in any one of the preceding claims, which is suitable for reshaping the conchal fossa of the ear.

9. A scaffold as claimed in any one of the preceding claims, wherein the shape-memory material is an alloy of nickel and titanium.

20

10. A method of reshaping an ear or a nose comprising providing a scaffold as claimed in any one of the preceding claims,

25

introducing at least part of the scaffold into an ear or a nose and

altering the scaffold to cause the scaffold to transform from its first configuration to its second, pre-programmed configuration.

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11. A method of reshaping an ear or a nose as claimed in claim 10, wherein the scaffold comprises at least one engaging member, and at least one engaging member of the scaffold is introduced into a cartilaginous portion of an ear or a nose.

12. A method as claimed in claim 10 or 11, wherein the temperature of the scaffold is altered to cause the scaffold to transform from its first configuration to its second, pre-programmed configuration.

13. A method as claimed in claim 12, wherein the at least one engaging member of the scaffold is introduced into the cartilaginous portion of an ear or a nose when the scaffold is at an elevated temperature, and

wherein the scaffold transforms from its first configuration to its second, pre-programmed configuration as the scaffold cools below a predetermined temperature.

14. A method as claimed in any one of claims 10 to 13 which further comprises manually altering the configuration of the body-portion and/or at least one engaging member of the scaffold once the scaffold is positioned in the ear.

15. A method as claimed in any one of claims 10 to 14, which further comprises altering the temperature of the scaffold to cause the scaffold to transform from its second, pre-programmed configuration to its initial configuration to allow the scaffold to be removed from the ear.

16. An applicator for inserting the scaffold as defined in any one of claims 1 to 9 into an ear or nose, the apparatus

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comprising means for releasably retaining the scaffold and means for deploying the scaffold into the ear or nose.

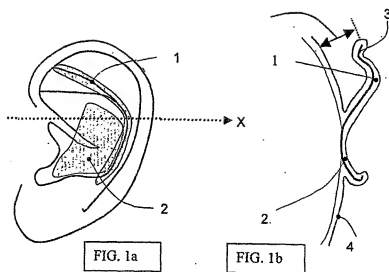
17. The applicator as claimed in claim 16 comprising a  
5 handle.

18. A combination of the scaffold as defined in any one of claims 1 to 9 and the applicator as defined in claim 16 or  
17.

10

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FIG. 2a



FIG. 2b



FIG. 3a



FIG. 3b



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FIG. 4a



FIG. 4b



FIG. 4c

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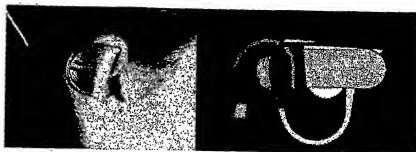


FIG. 5a

FIG. 5b

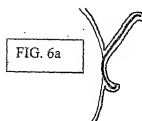


FIG. 6a

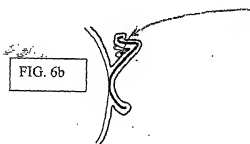


FIG. 6b

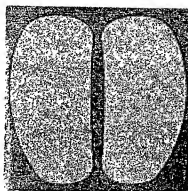


FIG. 6c

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FIG. 7a



FIG. 7b



FIG. 7c



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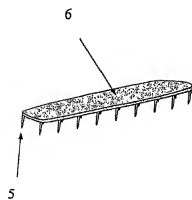
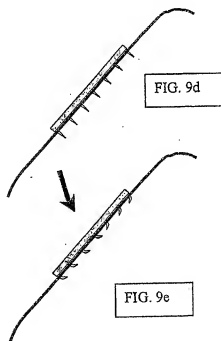
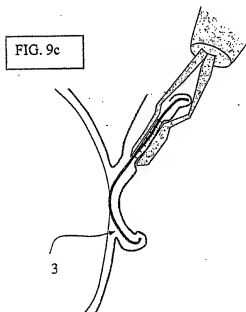
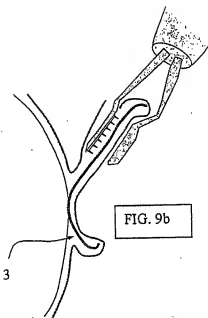
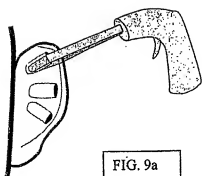


FIG. 8a



FIG. 8b

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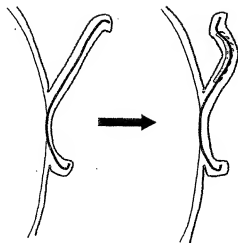
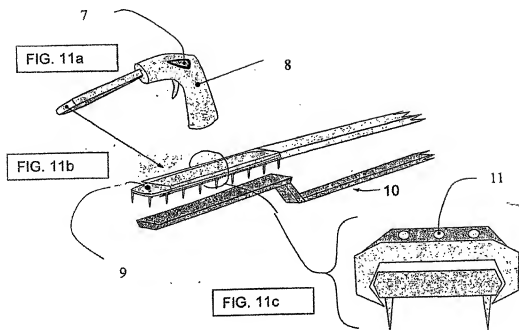


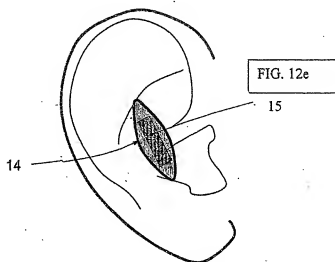
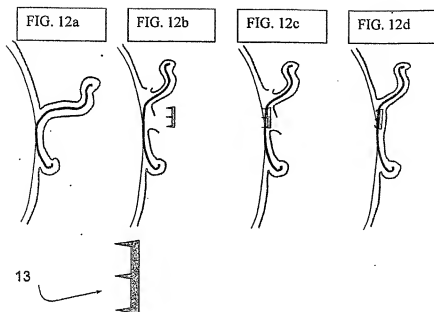
FIG. 10a

FIG. 10b

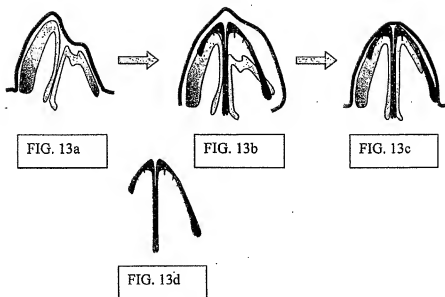
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11/14



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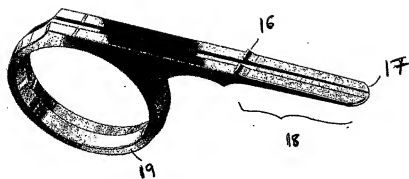


FIG. 14

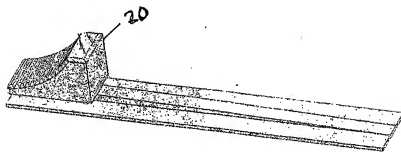


FIG. 15

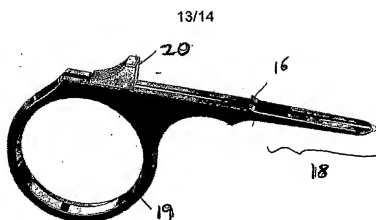


FIG. 16

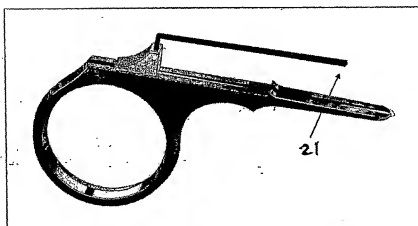
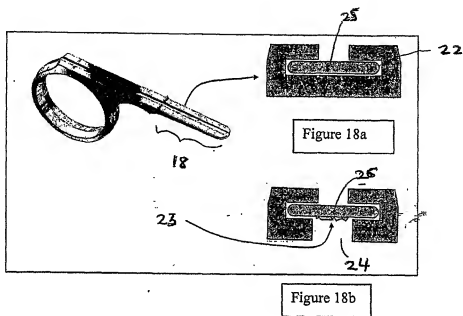


FIG. 17



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## INTERNATIONAL SEARCH REPORT

International application No  
PCT/GB2006/003181

## A. CLASSIFICATION OF SUBJECT MATTER

INV. A61F2/18 A61F11/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EP0-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 322 590 B1 (SILLERS MICHAEL J ET AL) 27 November 2001 (2001-11-27) column 1, line 47 - column 2, line 40 -----	1-9
X	EP 1 475 056 A (HEINZ KURZ GMBH MEDIZINTECHNIK) 10 November 2004 (2004-11-10) paragraphs [0013], [0020] - [0025] -----	1-9
X	US 5 433 748 A (WELLISZ ET AL) 18 July 1995 (1995-07-18) column 3, line 7 - column 5, line 50 -----	1-9

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance  
 "E" earlier document but published on or after the International filing date  
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
 "O" document referring to an oral disclosure, use, exhibition or other means  
 "P" document published prior to the International filing date but later than the priority date claimed

- "T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  
 "Z" document member of the same patent family

Date of the actual completion of the International search

27 September 2006

Date of mailing of the International search report

30.01.2007

Name and mailing address of the ISA/  
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Fax: (+31-70) 340-3016

Authorized officer

Mary, Céline

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB2006/003181

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 10-15  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-9

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-9

A scaffold for reshaping an ear or a nose

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2. claims: 16-18

An applicator

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## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/GB2006/003181

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6322590	B1	27-11-2001	NONE	
EP 1475056	A	10-11-2004	DE 20307058 U1	14-08-2003
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